

The School of Graduate, International, and Sponsored Programs Graduate Programs 916-898-5391 Zip: 0875 International Programs 916-898-6880 Zip: 0680 Sponsored Programs 916-898-5700/4044 Zip: 0870

Tuesday, March 9, 1999

To: The Sacramento River Advisory Council

From: David Gallo and Ron Adams

The Research Foundation at California State University, Chico is proposing to do a study measuring the economic impacts of the creation of the Sacramento River Conservation Area (SRCA). The proposal is for a case study of the effects on Glenn County. The Foundation is applying for funding from CALFED for the 1999 -2000 year. It is expected that, if the proposal is accepted, work will begin in the fall, 1999 and be completed within a year. The possibility of additional funding from the U.S. Fish and Wildlife Service (USFWS) is also being investigated. If that funding is obtained for the summer of 1999, data collection could begin in June 1999 and preliminary results for the economic cost portion of the study would be available by the end of January 2000.

A study, more limited in scope, was funded by the USFWS for the 1998-1999 year. The study, nearing completion, measures the impact of past land acquisitions in the SRCA on Glenn County's property tax revenues.

The CALFED proposal is for a comprehensive study of the economic impacts that would result from completion of the SRCA meander belt, including land acquisition and habitat restoration. The proposed study will focus on costs and will include:

- The changes in property tax and special district revenues that would result from further land acquisitions by USFWS and the State Wildlife Conservation Board in the SRCA. The methodology used in the USFWS funded study will be applied to all property targeted for acquisition in the portion of the proposed meander belt located in Glenn County.
- The changes in agricultural output and employment that would result from land acquisition and habitat restoration within the proposed meander belt. The estimated impacts will include indirect effects on input suppliers, processors of raw agricultural products, and County revenues. The analysis will be done using an input-output model. Data on agricultural output would be gathered from the CSU, Chico Geographical Information System and the USDA Census of Agriculture.

• Assess the local and regional economic benefits of the creation of the SRCA. In order to quantify the benefits, a more comprehensive study, including extensive fieldwork, would be necessary. However studies have been done for other areas measuring recreational benefits, the value of enhanced wildlife populations, increased local spending by anglers and other visitors, and the water quality benefits of creating a buffer zone to absorb the runoff from agricultural operations. While the results of these studies cannot be used directly to measure the benefits of creating the SRCA, they are indicative of the types and significance of benefits that can be expected.

As part of the proposal preparation process CALFED requires notification of interested organizations including local government entities, environmental groups, and landowner organizations. CALFED also requests that we solicit information from the various groups notified, indicating their support for, or opposition to the project. Letters indicating your group's position on the need for the study described in this letter must be received by April 10, 1999. The due date for proposal submissions is April 16, 1999. Letters can be sent to:

Environmental Resource Program

Research Foundation

CSU, Chico, Kendall Rm. 111

Chico, CA 95929-0870

Many of those receiving this letter were previously aware of the Foundation's intent to submit a proposal for a study of similar design. The Technical Advisory Committee, formed in the spring of 1998, reviewed a proposal for a similar comprehensive economic impact analysis using Glenn County as a case study. The Committee was supportive of the need for such an analysis during the April and June meetings. It is our hope that those receiving this letter will express their support in writing to CALFED.

Sincerely, Pavil Hallo

## March 9, 1999

To: Technical Advisory Committee From: David Gallo and Ronald Adams

RE: Rough draft of the Glenn County property tax study

We are enclosing a rough draft of the study, funded by the U.S. Fish and Wildlife Service, on the property tax revenue impacts of State and Federal land acquisitions in the Sacramento River Conservation Area. While the presentation is rather sketchy at this point and the conclusions are tentative, we felt that it was a good time to submit what we have done to the Committee for comment. Any comments about omissions, language, clarity (or lack thereof) of the presentation on the model's structure, appropriateness of assumptions used in the model, or other aspects of the preliminary draft would be appreciated. Where possible your comments will be incorporated into the final draft.

We would like to schedule a meeting with the Committee to discuss the draft and proposed changes. A location in Willows would probably be convenient for the majority of Committee members. In order to complete the study as soon as possible we would prefer a meeting date during the week of March 22-26. That would give us enough time to refine the model results. Hopefully, it would also give the Committee members sufficient time to submit comments on the draft document.

Comments may be submitted by mail to:

David Gallo
Department of Economics
CSUC
Chico, CA 95929-0430

Or, emailed to:

DEGallo@mesquite.csuchico.edu

## TECHNICAL ADVISORY COMMITTEE

- John Benoit- Director of Resource Planning and Development for Glenn County
- Denny Bungarz- Member of the Glenn County Board of Supervisors, District
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- Burt Bundy- Chair of the SB1086 Committee
- Ramon Vega- U.S. Fish and Wildlife Service
- Vincent Minto- Glenn County Assessor

- The Nature Conservancy
  John Merz- Sacramento River Preservation Trust
- John Carlon- Sacramento River Partners

## Introduction

The purpose of this study is examine the impacts on local property tax revenues of Federal and State land acquisitions along the Sacramento River. Land is being purchased from private owners for various purposes including habitat preservation and restoration. Local government officials have expressed concerns that converting privately held lands to government ownership will erode the tax base.

# Scope of the Study

Loss of property tax revenues is but one of the economic issues concerning local officials. As land is removed from agricultural production for habitat restoration or other purposes not resulting in marketable output, the consequences may include reduced local GDP, employment, and other sources of local government revenue. Although these additional economic impacts are of concern to local decision makers, they are beyond the scope of this study. The study is limited to the examination of the impacts of government land acquisition on property tax and special district revenues.

While this study does not examine all potential costs to local economies, it includes none of the potential benefits associated with the programs driving the government land acquisition process.

Possible benefits include reduced flood damage to lands remaining in private ownership, increased ground water recharge, reduced runoff of agricultural chemicals into the Sacramento River, and enhanced wildlife habitat. Some of these may provide direct benefits to the local economy. For example, if habitat improvements lead to an increase in fish populations and thus an influx of anglers, the additional local spending could boost local economic activity and sources of local government revenue, particularly sales taxes.

It is not within the scope of this study to estimate the magnitude of benefits. In fact the results in no way presume that measurable benefits exist. Any costs to the local economy beyond direct impacts on property tax revenues are also outside the purview of this study. Therefore it is important that the reader not assume that the study results are indicative of the costs and benefits of habitat restoration, environmental preservation, or any other program goal.

The study is limited to land acquisitions within Glenn County, California. The decision to focus on a single county was based on funding limitations, while the particular choice of Glenn County was due to a combination of local interest and a willingness of local officials to assist in data collection and other aspects of the study. However, the results should be applicable to other counties along the Sacramento River.

# Methodology

## Property valuation

The target area for the study is land adjacent to the Sacramento River in Glenn County. Land acquisitions included in the study are those properties subject to potential flooding from the River. Therefore parcels include only those from assessor map books 13, 15, 16, 19, 23, 32, and 37. The sample of properties acquired by the State and Federal governments is further limited to those purchased between 1980 and 1998. This additional restriction eliminates very few properties from consideration (less than 10% of the acquired properties) and was necessary due to the difficulty of determining pre-sale values.

The values for acquired properties were determined prior to sale to the respective Federal or State agency. In cases where the Nature Conservancy purchased property from a private owner and then sold it to a government entity within a year, the assessed value prior to the initial sale was used. Each parcel value and the base year for appraisal were found in the Assessors Master Lists. In the case where a parcel was split prior to sale, but the entire parcel was sold to the State or Federal government, the value of land and improvements was allocated to the portions of the original parcel based on relative acreage. Where a portion of the original parcel remained in private ownership, the appraisal value of the privately held parcel was subtracted from the value of the entire parcel to determine the value of the part purchased by the government agency.

The prices paid by the State and Federal governments were determined from two separate sources. For State property acquisitions the purchase price is equal to the current valuation on the invoice for in lieu taxes paid to the County. For properties purchased by the Federal government the prices paid were found on the sale documents from the County Recorders Office. In the one case where the Nature Conservancy purchased a property and did not resell it to a State or Federal entity, the purchase price was obtained from the Assessor's Master Lists, the source of appraised values for all privately held parcels.

# **Property Tax Payments**

The purpose of the study is to compare Federal and State in lieu payments to the property taxes that would have been paid had the acquired lands remained in private ownership. In the case of the State, in lieu payments are calculated as the product of the original price paid for the property and the applicable tax rate for the particular tax rate area. The in lieu taxes paid by the State on any parcel remain constant over time. This is because the State does not re-appraise properties and does not change the tax rate even if the rate changes for the appropriate tax rate area.

The Federal government computes in lieu taxes owed to counties at threequarters of a percent of the appraised value. The initial appraised value is equal to the purchase price, but unlike the State, the Federal government re-appraises

its holdings every five years. Therefore, in any year the Federal in lieu owed the County is three-quarters of a percent of the current appraised value. However, the actual amount the County receives depends on the Federal budgetary process and has been as little as sixty-five percent of the amount owed.

For a privately held parcel property taxes paid are the product of the assessed value and the tax rate for the particular tax rate area. The assessed value is generally equal to the purchase price adjusted for changes such as improvements and inflation. The annual inflation adjustment is equal to the increase in the California CPI up to two percent. The inflation adjustment is from the base year appraisal (1975 is the base year for all properties purchased prior to that year); that is, the value in the year the current owner purchased the property.

## The Model

A spreadsheet model is used to compute the property taxes paid under Federal, State, and private ownership. For properties acquired by the State or Federal government, property taxes are computed assuming the land had remained in private ownership. The assessed value used to calculate hypothetical property taxes paid is the base year value adjusted by two percent per year for inflation. Reappraisal is assumed to occur at resale and model runs are made for resale frequencies ranging from ten to twenty years. The real rate of increase in land values is equal to the average annual increase in California farmland values for the 1980-1998 period less the average annual inflation rate. The rate of increase in land prices and thus appraised value, for properties transferred between private parties, is equal to the sum of the real rate of increase in farmland prices and the assumed future rate of inflation.

For properties acquired by the Federal government, the projected in lieu taxes will be computed based on the purchase price, the tax rate, the percentage of in lieu actually paid, and the values determined by periodic re-appraisal. In lieu taxes paid for the five year period following purchase equal the price paid times three-quarters of a percent times the percent of in lieu actually paid. Since the latter has generally varied between seventy and eighty percent, separate projections will be done for each value. Five years following the initial public purchase, the appraised value of the land is increased to reflect its then current market value. The adjustment in market value is accomplished using the adjustment factor described above for privately held lands. The re-appraisal process continues in the same manner at five year intervals and Federal in lieu payments to Glenn County are computed according to the formula described at the beginning of this paragraph.

In lieu taxes paid by the State are constant over time and are the product of the tax rate and the initial purchase price of the property. Therefore, for State land acquisitions no adjustments for re-appraisal or changes in tax rate areas are necessary and first year and nth year payments are identical.

Some of the public land acquisitions include property with improvements. The improvements, which under private ownership are taxed at the same rate as are land values, may include structures, orchard trees, etc.. Since agricultural production is not the intended purpose of public land acquisitions, it is reasonable to assume that these improvements will be allowed to depreciate over time. The decrease in the value of improvements has no effect on State in lieu paid as the value is frozen at the initial purchase price. But in the case of Federal holdings, the amount of the periodic re-appraisal will be affected by the depreciation. For purposes of this study we will separately consider straight-line depreciation over a ten year and a twenty year period for all improvements on Federally held property. The amount of depreciation is deducted from the escalation in the value of unimproved land to determine the increase in the base for in lieu payments at the point of re-appraisal. In the case of land remaining in private ownership (no transfer to Federal ownership), it is assumed that the improvements are maintained and rise in value at the rate of increase in the California CPL

## Allocation of Property Tax Revenues

While the total amount of property tax or in lieu revenues are important to the County, whether they are paid into the County General Fund or the State School Fund is also an important consideration. In the case of privately held lands the tax rate in excess of one percent of assessed value is paid to special districts. Of the remaining one percent of assessed value, eighty percent goes to the school fund and twenty percent goes to the general fund. For State in lieu paid to the County, one hundred percent goes to the general fund. Federal in lieu, by contrast, is allocated in the same manner as property taxes paid on privately held parcels.

As a result of the differences in allocating in lieu payments to the various County funds, the model examines the impact on each of the funds separately. Federal, State, and private ownership are examined in terms of the impact on general fund, schools, and special district revenues.

# Model Output

The projections of County property tax or in lieu revenues are presented in several forms. Revenues from Federal in lieu payments are compared with property tax revenues from hypothetical continued private ownership of the Federally held properties. Revenues from State in lieu payments similarly are compared to the property tax revenues that would accrue to the County under continued private ownership. The comparisons are made separately for the general fund, schools, and special districts. Comparative payments to the three funds are presented for ten, twenty, and thirty years into the future. The present value of the future revenues is also calculated for each ownership category to provide a consistent basis for long term comparisons.

Where the value of critical variables cannot be reliably determined from available data, a sensitivity analysis is performed by making separate runs for two or more values, covering the range of probable values, and then comparing the results. Frequency of sale for privately held properties, the rate of depreciation for improvements on Federally held lands, the general rate of inflation, the ratio of Federal in lieu payments made to the amount due, and the discount rate are the key variables for which sensitivity analyses are performed.

# Public Participation

## **Technical Advisory Committee**

- John Benoit- Director of Resource Planning and Development for Glenn County
- Denny Bungarz- Member of the Glenn County Board of Supervisors, District
- Burt Bundy- Chair of the SB1086 Committee
- Ramon Vega- U.S. Fish and Wildlife Service
- Vincent Minto- Glenn County Assessor
- The Nature Conservancy
- John Merz- Sacramento River Preservation Trust
- John Carlon- Sacramento River Partners

The Technical Advisory Committee participated in the design and proposal writing phases of the study, During the time that work was accomplished we had two meetings with the Committee. At the April, 1998 meeting the members present offered suggestions as to what elements they would like to see included in the study. A rough draft of the proposal was mailed to the members for comment. A second meeting was held in June, 1998 to discuss the members' response to the rough draft and to consider the appropriate structure of an expanded economic impact analysis. A revised proposal was e-mailed to the Committee members on June 22, 1998. The comments received were incorporated into the final proposal.

During the data collection phase, Vince Minto, the Glenn County Assessor, and his staff provided assistance in determining the values of various privately held parcels, the methods for computing State and Federal in lieu payments to the County, and invaluable assistance in other key areas. In January and February of 1999 we made eight separate trips to the County offices in Willows for data collection and consultation with County staff members.

A rough draft of the study was completed in March, 1999 and sent to each of the members of the Advisory Committee for comment. That was followed by a meeting to discuss changes and additions suggested by Committee members.

# **Study Results**

The preliminary results of the spreadsheet model are contained on the following pages. The runs were for a narrow range of assumptions and do not include all of the possibilities that will be included in the final version. The only variable for which the sensitivity of the results is tested is the real rate of increase in farm land values. For that variable values of zero, one, and 1.57 percent annual real increases are used, corresponding to actual increases of three, four, and 4.57 percent per year respectively.

The remaining assumptions are listed on the cover sheet for each run of the spreadsheet model. At the bottom of each page titled "assumptions" are the results of the run. For example, for the model run using 1.57 percent annual real increase in farmland values (based on the 1954-1995 rate of increase for the U.S.), the present value of payments to the general fund for properties held by the DFG are \$704,314. If those same properties had remained in private ownership, the present value of the property tax revenues to the County General Fund would have been \$295,566. For Federal ownership under the USFWS, the present value of property tax revenues is lower than it would have been under continued private ownership. The present value of Federal in lieu paid (assuming payment of 80% of the amount due) to the County is \$466,056, whereas continued private ownership of those same properties would have generated property tax revenues with a present value of \$636,623.

For the model runs using lower assumed real escalation in farmland values, the advantage of State in lieu payments over private property tax payments to the general fund is larger. This is because the basis for determining State in lieu is the original purchase price, while private property tax payments rise with the increase in land values. However, the proportionate loss due to Federal ownership is roughly the same in all cases and does not appear to be sensitive to the assumption regarding the real farmland escalation rate.

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Worksheet

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130200069		16.43			1989	1902	19.46		19.46	1.023	81045	89	81	1902	1902	o o		1,000	4/1/90		891
130200059			04/30/90		1989	280142	2865.85		2865.85	1,023	81045	89	75	280142	278000	2142		1,000	4/1/90		89
13020004					1989	31990	327.26		327.26	1.023	81046	89	75	31990	28309			1,000			89
15160011		119.90		1/01/97	1997	377200	3772.00		3772.00		81004	88	88	193290	60180	133110		1.000		11/01/97	89
15160001		314.50	11/01/97		1997	822800	9228.00		9228.00	1.000	81004	88	88	482970	166260	316710	····	1.000		INTO	93
13140026	Nets.	20.90	12/01/96	L	1998	19247	192.47	0	192.47	1.000	81004	95	82	11245	11245			1,000			88

Ifornia State of Lara Conservancy 455.30	3788092	0	2264249	1094607	1169642
	5299506	56345	4903099	4665002	238097
	1319247	13192	687505	237685	449820

Assumptions				
		A #704	<u>.</u> .	
Land Value Increase real		1.57%		
Predicted Inflation Rate		3.00% 4.62%		•
Nominal Increase Land		4.52%	•	-
Land Value Increase tax pu	imoses		· .	
Land Value mercue and pr	3.p00.0			
Pre	1998	2.00%		
	1999	1.60%	used ?	
Prop 13 property increase		2.00%		* *
			•	
Depreciation Federal Impro				·
	Years	20		
	_			
Federal lands reappraised :	5 year cycle			
Last	reappraisal	1995		
Last	reappraisar	95		
Years to Revalue Federal p	roperties	5		
Percent escalation		4.62%		
Federal In Lieu percent		0.75%		
	•			
Federal Payment of in lieu;	percent	80.00%	· · · · · · · · · · · · · · · · · · ·	
• •				
	*1	4.0000		
Percent tax Percent discount		1.000% 8.000%	not used	
Percent discount		0.00076		
Special District		0.040%	not used	
Openia District		<b>4.4</b> 14 75		
		•		
Years to Revalue private pr	operties	20	has to be >2	
Percent escalation		4.62%		
Past years since revalue		50.00%	10.00	
Start Year		1998		
		98		
			•	
Real Interest Rate		3.80% 3.00%		
Predicted Inflation Rate Nominal Interest Rate		5.00% 6.91%		* * *
Property Tax Rate		1.00%		:
Capitalization Rate		7.98%		
Capitalization (Cate		7.5070		
the second second	บร			
Land V	falue Per acre	CPI	+ 1	
1954	60	26.9	v .	
1995	644	152.4	*	
41 10.	73333333	5.665427509	Land/CPI Re	al Increase Land
0.004	E0504002	4 04900040	1.02	1.571%
0.024 1.0	59594923	1.04320949	1.02	1.97 170
Present Value	Taxes			
· I-marting a soundary		Total	General Fund	
	Private	1,477,829	295,566	
	Calif	704,314		
			4.	
	Private	636,623		
	America	466,056		2 - 1

Private					State of California
_	-	6.1	0	0	D * *

	1 114 EKO	and the second second					Otato of Cash	OI FINGE	-				
							_						Surplus or
	Property	Tax	Schools	Special	County		Property	Tax	Schools	Special	County	1 .	Deficit
	Value	•		Districts 1 4 1	General Fund		Value			Districts	General Fund	, 0	eneral Fund
1999	5880869	58956	47047	147	11762		5299506	56345		3350	529 <del>9</del> 5		41233
2000	5998486	60135	47988	150	11997		5299506	56345		3350	52995		40998
2001	6118456	61338	48948	153	12237		52 <del>9</del> 9506	56345		3350	52995		40758
2002	6240825	62564	49927	156	12482		5299506	56345		<b>3</b> 350	52995		40513
2003	6365642	63816	50925	159	12731		5299506	56345		3350	52995		40264
2004	6492955	65092	51944	162	12986		5299506	56345		3350	52995		40009
2005	6622814	66394	52983	166	13246		5299506	56345	- 11 m	3350	52995		39749
2006	6755270	67722	54042	169	13511		5299506	56345		3350	52995		39485
2007	6890375	69076	55123	172	13781		5299506	56345		3350	52995		39214
2008	9054576	90772	72437	226	18109		5299506	56345		3350			34886
2009	9235667	92588	73885	231	18471		5299506	56345		3350			34524
2010	9420381	94439	75363	236	18841		5299506	56345		3350	52995		34154
2011	9608788	96328	76870	240	19218		5299506	56345		3350			33777
2012	9800964	98255	78408	245	19602		5299506	56345		3350	52995		33393
2013	9996983	100220	79976	250	19994		5299506	56345		3350	52995		33001
2014	10196923	102224	81575	255	20394		5299506	56345		3350	52995		32601
2015	10400862	104269	83207	260	20802		5299506	56345		3350	52995		32193
2016	10608879	106354	84871	265	21218		5299506	56345		3350	52995		31777
2017	10821056	108481	86568	271	21642		5299506	56345		3350	52995		31353
2018	11037477	110651	88300	276	22075		5299506	56345		3350	52995		30920
2019	11258227	112864	90066	282	22516		5299506	56345		3350	52995		30479
2020		115121	91867	287	22967		5299506	56345		3350			30028
2021	11713059	117424	93704	293	23426		5299506	56345		3350	52995		29569
2022		119772	95579	299	23895		5299506	56345		3350	52995		29100
2023	12186267	122167	97490	305	24373	•	5299506	56345		3350			28623
2024	12429992	124611	99440	311	24860		5299506	56345		3350	52995		28135
2025	12678592	127103	101429	317	25357		5299506	56345		3350	52995	•	27638
2026	12932164	129645	103457	323	25864	5	5299506	56345		3350	<b>5299</b> 5		27131
2027	13190807	132238	105526	330	26382		5299506	56345		3350	52995		26613
2028	22331693	223875	178654	559	44663		5299506	56345		3350	52995		8332
2029	22778327	228353	182227	570	45557		5299506	56345		3350	52995		7438
2030	23233894	232920	185871	581	46468	. :	5299506	56345		3350	52995		6527

•	Private					America Uni	led States	of			
Year	Property Value	Tax	Schools	Special Districts	County General Fund	Property Value	Tax	Schools	Special Districts	County General Fund	Surplus or Deficit ( ) General Fund
1999	2506038	25189	20048	128	5012	3515859	21095	16790	107	4198	(815)
2000	2556158	25692	20449	131	5112	3977325	23864	18994	122	4748	(364)
2001	2607282	26206	20858	134	5215	3890572	23343	18580	119	4645	(570)
2002	2659427	26730	21275	136	5319	3803818	22823	18165	116	4541	(778)
2003	2712616	27265	21701	139	5425	3717065	22302	17751	114	4438	(987)
2004	2766868	27810	22135	142	5534	3630311	21782	17337	111	4334	(1200)
2005	2822205	28367	22578	145	5644	4230576	25383	20203	129	5051	(594)
2006	2878649	28934	23029	147	5757	4143822	24863	19789	127	4947	(810)
2007	2936222	29513	23490	150	5872	4057069	24342	19375	124	4844	(1029)
2008	3858462	38782	30868	198	7717	3970315	23822	18960	121	4740	(2977)
2009	3935631	39 <del>5</del> 58	31485	202	7871	3884887	23309	18552	119	4638	(3233)
2010	4014343	40349	32115	206	8029	4660417	27963	22256	142	5564	(2465)
2011	4094630	41156	32757	210	8189	4574989	27450	21848	140	5462	(2727)
2012	4176523	41979	33412	214	8353	4489561	26937	21440	137	5360	(2993)
2013	4260053	42819	34080	218	8520	4427570	26565	21144	135	5286	(3234)
2014	4345254	43675	34762	223	8691	4365636	26194	20848	133	5212	(3478)
2015	4432160	44549	35457	227	8864	5406821	32441	25821	165	6455	(2409)
2016	4520803	45440	36166	232	9042	5373646	32242	25662	164	6416	(2626)
2017	4611219	46348	36890	236	9222	5340471	32043	25504	163	6376	(2847)
2018		47275	37628	241	9407	5340471	32043	25504	163	6376	(3031)
2019	4797512	48221	38380	246	9595	5340471	32043	25504	163	6376	(3219)
2020	4893462	49185	39148	251	9787	6692571	40155	31961	205	7990	(1797)
2021	4991332	50169	39931	256		6692571	40155	31961	205	7990	(1992)
2022	5091158	51172	40729	261	10182	6692571	40155	31961	205	7990	(2192)
2023	5192981	52196	41544	266		6692571	40155	31961	205	7990	(2396)
2024	5296841	53240	42375	271	10594	6692571	40155	31961	205	7990	(2604)
2025	5402778	54304	43222	277	10806	8386995	50322	40052	256	10013	(792)
2026	5510833	55391	44087	282	11022	8386995	50322	40052	256	10013	(1009)
2027	5621050	56498	44968	288	11242	8386 <del>9</del> 95	50322	40052	256	10013	(1229)
2028	9516291	95650	76130	487	19033	8386995	50322	40052	256	10013	(9019)
2029	9706617	97563	77653	497		8386995	50322	40052	256	10013	(9400)
2030		99515	79206	507	19801	10510412	63062	50193	321	12548	(7253)
2031	10098764	101505	80790	517	20198	10510412	63062	50193	321	12548	(7649)

Assumptions		
Land Value Increase real	1.00%	
Predicted Inflation Rate Nominal Increase Land	3.00% 4.03%	
Mountain inchease faud	4.03%	
Land Value increase tax purposes		
Pre 1998	2.00%	and the second second second second
1999	1.60%	used ?
Prop 13 property increase	2.00%	
Depreciation Federal Improvements	- ·	
Years	20	•
Federal lands reappraised 5 year cycle		
r social latios reapplaised o year cycle		
Last reappraisal	1995	
	95	
		•
Years to Revalue Federal properties	5	
Percent escalation	4.03%	
Federal In Lieu percent	0.75%	
Federal Payment of in lieu percent	80.00%	
I sucret ayritoric or miner percent		
Percent tax	1.000%	not used
Percent discount	8.000%	
0-1-2-1-0-1-1-1		
Special District	0.040%	not used
	•	
Years to Revalue private properties	20	has to be >2
Percent escalation	4.03%	•
Past years since revalue	50.00%	10.00
Start Year	1998	
	98	e e e e e e e e e e e e e e e e e e e
Real Interest Rate	3.80%	
Predicted Inflation Rate	3.00%	•
Nominal Interest Rate	6.91%	and the second second second
Property Tax Rate	1.00%	•
Capitalization Rate	7.98%	
US		
Land Value Per acre	CP1	•
PRINT AGING L. G. GOLD.	Or I	•
1954 60	26.9	•
1995 644	152.4	
41 10.73333333	5.665427509	Land/CPI Real Increase Land
0.024 1.059594923	1.04320949	1.02 1.571%
0.027 1.035334323	1.0402043	1.02 1.3/170
	•	
Present Value Taxes	T-1-1	
Private	Total	General Fund
Calif	1,314,882 704,314	262,976
<del> </del>	/ UT, UT	
Private	563,777	
America	397,161	

		Private						America Uni	led States	លា				
			*.* -											Surplus or
Yea	F	Property	Tax	Schools	Special	County		Property	Tax	Schools	Special	County		Deficit ()
	4000	Value	05400	00040	Districts	General Fund		Value	04007	4.070.0	Districts	General Fund		General Fund
100	1999	2506038	25189	20048	128	5012		3501116	21007	16720	107	4180		(832)
	2000	2556158	25692	20449	131	5112		3884072	23304	18549	119			(475)
	2001	2607282	26206	20858	134	5215		3797318	22784	18134	116			(681)
	2002	2659427	26730	21275	136			3710565	22263	17720	113			(889)
i.	2003	2712616	27265	21701	139			3623811	21743	17306	111	4326		(1099)
	2004	2766868	27810	22135	142	5534		3537058	21222	16891	108			(1311)
12.1	2005	2822205	28367	22578	145	5644		4022602	24136	19210	123		100	(842)
	2006	2878649	28934	23029	147			3935848	23615	18796	120			(1058 <b>)</b>
	2007	2936222	29513	23490	150	5872		3849095	23095	18382	118			(1277)
	2008	3647316	36660	29179	187	7295		3762341	22574	17967	115	4492		(2803)
	2009	3720262	37393	29762	191	7441		3676913	22061	17559	112	4390		(3051)
	2010	3794668	38141	30357	194	7589		4288777	25733	20481	131	5120		(2469)
	2011	3870561	38904	30964	198	7741		4203349	25220	20073	129	5018		(2723)
• • •	2012	3947972	39682	31584	202	7896		4117921	24708	19665	126	4916		(2980)
	2013	4026932	40476	32215	206	8054		4055931	24336	19369	124			(3212)
	2014	4107470	41285	32860	210	8215		3993997	23964	19074	122	4768		(3447)
	2015	4189620	42111	33517	215	8379		4805834	28835	22950	147	5738		(2642)
	2016	4273412	42953	34187	219	8547		4772659	28636	22792	146			(2849)
	2017	4358880	43812	34871	223	8718		4739484	28437	22634	145			(3059)
	2018	4446058	44688	35568	228	8892		4739484	28437	22634	145			(3234)
	2019	4534979	45582	36280	232	9070		4739484	28437	22634	145			(3412)
	2020	4625679	46494	37005	237	9251		5774629	34648	27577	177	6894		(2357)
	2021	4718192	47424	37746	242	9436		5774629	34648	27577	177			(2542)
	2022	4812556	48372	38500	246	9625		5774629	34648	27577	177			(2731)
	2023	4908807	49339	39270	251	9818		5774629	34648	27577	177			(2923)
	2024	5006983	50326	40056	256	10014		5774629	34648	27577	177			(3120)
	2025	5107123	51333	40857	262	10214	•	7035858	42215	33600	215			(1814)
	2026	5209265	52359	41674	267	10419		7035858	42215	33600	215			(2019)
	2027	5313451	53407	42508	272	10627		7035858	42215	33600	215			(2227)
	2028	8037951	80791	64304	412	16076		7035858	42215	33600	215			(7676)
	2029	8198710	82407	65590	420	16397		7035858	42215	33600	215			(7997)
	2030	8362684	84055	66901	428	16725		8572551	51435	40939	262			(6491)
	2031	8529938	85736	68240	437	17060		8572551	51435	40939	262			(6825)

Assumptions		
Land Value Increase real Predicted inflation Rate Nominal Increase Land	0.00% 3.00% 3.00%	
Land Value Increase tax purposes		
Pre 1998 1999	2.00% 1.60%	used ?
Prop 13 property increase	2.00%	
Depreciation Federal Improvements Years	20	
Federal lands reappraised 5 year cycle		
Last reappraisal	1995 95	
Years to Revalue Federal properties Percent escalation	5 3. <b>00</b> %	
Federal In Lieu percent	0.75%	•
Federal Payment of in lieu percent	80.00%	
Percent tax Percent discount	1.000% 8.000%	not used
Special District	0.040%	not used
Years to Revalue private properties Percent escalation	20 3.00%	has to be >2
Past years since revalue Start Year	50.00% 1998 98	10.00
Real Interest Rate Predicted Inflation Rate Nominal Interest Rate Property Tax Rate Capitalization Rate	3.80% 3.00% 6.91% 1.00% 7.98%	
U S Land Value Per acre	CPI	
1954 60 1995 644	26.9 152.4	
41 10.73333333	5.665427509	Land/CPI Real Increase Land
0.024 1.059594923	1.04320949	1.02 1.571%
	٠	
Present Value Taxes	₹ Total	General Fund
Private Calif	1,114,530 704,314	222,906
Private America	476,564 315,626	0.66
	•	
	CPI	
1970 479 1997 2510	39 159.1	
27 5.240083507	4.079487179	Land/CPI Real Increase Land
0.037 1.063266561	1.053452653	1.01 0.932%

	Private	to gradinalis Table 1	•			State of Califo	ornia					
	Dronovie	Tou	Cabasis	Consolal	County	<b>8</b>	<b></b>	C- <b>k</b>	0	<b>A</b> 24 m2		rplus or
100	Property	Tax	Schools	Special	County	Property	Tax	Schools	Special	County		)eficit
4000	Value	EROCA	17015	Districts	General Fund	Value	505.45		Districts	General Fund	Gene	eral Fund
1999	5880869	58956	47047	147	11762	5299506	56345		3350	52995		41233
2000	5998486	60135	47988	150		5299506	56345	1	3350	52995		40998
2001	6118456	61338	48948	153	12237	5299506	56345		3350	52995	2.5	40758
2002	6240825	62564	49927	156		5299506	56345		3350	52995		40513
2003	6365642	63816	50925	159	12731	5299506	56345	* .	3350	52995		40264
2004	6492955	65092	51944	162	12986	5299506	56345	*,	3350	52995	•	40009
2005	6622814	66394	52983	166	13246	5299506	56345		3350	52995		39749
2006	6755270	67722	54042	169	13511	5299506	56345		3350	52995		39485
2007	6890375	69076	55123	172	A CONTRACTOR OF THE CONTRACTOR	5299506	56345		3350	52995		39214
2008	7748428	77678	61987	194		5299506	56345	•	3350	52995		37498
2009	7903396	79232	63227	198	15807	5299506	56345		3350	52995		37188
2010	8061464	80816	64492	202	16123	5299506	56345		3350	52995		36872
2011	8222694	82433	65782	206	16445	5299506	56345		3350	52995		36550
2012	8387147	84081	67097	210	16774	5299506	56345		3350	52995		36221
2013	8554890	85763	68439	214	17110	5299506	56345		3350	52995		35885
2014	8725988	87478	69808	218	17452	5299506	56345		3350	52995		35543
2015	8900508	89228	71204	223	17801	5299506	56345		3350	52995		35194
2016	9078518	91012	72628	227	18157	5299506	56345		3350	52995		34838
2017	9260088	92832	74081	232	18520	5299506	56345		3350	52995		34475
2018	9445290	94689	75562	236	18891	5299506	56345		3350	52995		34104
2019	9634196	96583	77074	241	19268	5299506	56345		3350	52995	-	33727
2020	9826880	98515	78615	246	19654	5299506	56345		3350	52995		33341
2021	10023418	100485	80187	251	20047	5299506	56345		3350	52995		32948
2022		102495	81791	256	20448	5299506	56345		3350	52995		32547
2023		104544	83427	261	20857	5299506	56345		3350	52995		32138
2024	10636931	106635	85095	266	21274	5299506	56345		3350	52995		31721
2025	10849669	108768	86797	271	21699	5299506	56345		3350	52995		31296
2026		110943	88533	277	22133	5299506	56345		3350.	52995		30862
2027	11287996	113162	90304	282	22576	5299506	56345	<i>V</i>	3350	52995		30419
2028	13994522	140295	111956	350	27989	5299506	56345	1. 3	3350	52995		25006
2029	14274413	143101	114195	357	28549	5299506	56345		3350	52995		24446
2030	14559901	145963	116479	364	29120	5299506	56345		3350	52995	15.	23875

#### 0.795924 0.005095 0.19898097

		Private						America Uni	ted States	of			
Year		Property	Tax	Schools	Special	County		Property	Tax	Schools	Special	County	Surplus or Deficit ()
		Value	1			General Fund		Value				•	General Fund
	1999	2506038	25189	20048	128	5012		3475584	20854	16598	106	4149	(863)
	2000	2556158	25692	20449	131	5112		3727298	22364	17800	114	4450	(662)
	2001	2607282	26206	20858	134			3640544	21843	17386	111	4346	(868)
	2002	2659427	26730	21275	136			3553791	21323	16971	109	4243	(1076)
1.7	2003	2712616	27265	21701	139			3467037	20802	16557	106	4139	(1286)
	2004	2766668	27810	22135	142			3380284	20282	16143	103	4036	(1498)
	2005	2822205	28367	22578	145			3685907	22115	17602	113	4401	(1244)
	2006	2878649	28934	23029	147			3599153	21595	17188	110	4297	(1460)
	2007	2936222	29513	23490	150			3512400	21074	16774	107	4193	(1679)
100	2008	3301868	33188	26415	169			3425646	20554	16359	105	4090	(2514)
-	2009	3367905	33852	26943	172	6736		3340218	20041	15951	102	3988	(2748)
	2010	3435263	34529	27482	176	6871		3709662	22258	17716	113	4429	(2442)
•	2011	3503966	35219	28032	179			3624234	21745	17308	111	4327	(2681)
	2012	3574048	35924	28592	183			3538806	21233	16900	108	4225	(2923)
	2013	3645529	36642	29164	187			3476816	20861	16604	106	4151	(3140)
	2014	3718439	37375	29748	190	7437		3414881	20489	1630B	104	4077	(3360)
	2015	3792808	38122	30342	194			3904453	23427	18646	119	4661	(2924)
	2016	3868664	38885	30949	198	7737	1.0	3871278	23228	18487	118	4622	(3115)
	2017	3946037	39662	31568	202	7892		3838104	23029	18329	117	4582	(3310)
	2018	4024958	40456	32200	206	8050		3838104	23029	18329	117	4582	(3468)
	2019	4105457	41265	32844	210	8211		3838104	23029	18329	117	4582	(3629)
	2020	4187567	42090	33501	214	8375		4449414	26696	21248	136	5312	(3063)
	2021	4271318	42932	34171	219	8543		4449414	26696	21248	136	5312	(3231)
	2022	4356744	43791	34854	223	8713		4449414	26696	21248	136	5312	(3401)
	2023	4443879	44666	35551	228	8888		4449414	26696	21248	136	5312	(3576)
	2024	4532757	45560	36262	232	9066		4449414	26696	21248	136		(3753)
	2025	4623412	46471	36987	237	9247		5158091	30949	24633	156		(3089)
	2026	4715880	47400	37727	242	9432		5158091	30949	24633	158	6158	(3274)
	2027	4810198	48348	38482	246	9620		5158091	30949	24633	158		(3462)
	2028	5963540	59941	47708	305	11927		5158091	30949	24633	158	6158	(5769)
	2029	6082811	61140	48662	312	12166		5158091	30949	24633	158		(6007)
	2030	6204467	52362	49636	318	12409	1	5979641	35878	28556	183		(5270)
	2031	6328557	63610	50628	324	12657		5979641	35878	28556	183	7139	(5518)

CSU, Chico Research Foundation

California State University, Chico Chico, California 95929-0870 (530) 898-4044; FAX: (530) 898-6804

April 14, 1999

Glenn County Board of Supervisors P.O. Box 391 Willows, CA 95988

Kristin Cooper-Carter Environmental Resource Program Office of Sponsored Programs California State University, Chico Chico, CA 95929-0870

Dear Supervisors Bungarz, Freeman, Hansen, Harris and Mudd,

A proposal titled, "Local Economic Impacts of Public Land Acquisitions in the Sacramento River Conservation Area: A Case Study of Glenn County" will be submitted on April 16, 1999 for consideration by the CALFED Bay-Delta Program's Ecosystem Restoration Program and Strategic Plan in response to the 1999 Proposal Solicitation Package.

This proposal is for a comprehensive study of the economic impacts on Glenn County that would result from completion of the Sacramento River Conservation Area (SCRA) meander belt. The proposed study will focus on costs and will include the changes in property tax, special district revenues, agricultural output and employment that would result from further land acquisitions and habitat restoration. The study will also assess the local and regional economic benefits of the creation of the SRCA including recreational benefits, the value of enhanced wildlife populations, increase local spending by anglers and other visitors, and the water quality benefits of creating a buffer zone to absorb the runoff from agricultural operations.

An Executive Summary of this proposal will be forthcoming by the end of the month.

If you have any questions about this proposal, please feel free to call my office at (530) 898-5026.

Sincerely,

Kristin Cooper-Carter, Coordinator Environmental Resource Center

KCC/lk

## CSU, Chico Research Foundation

California State University, Chico Chico, California 95929-0870 (530) 898-4044; FAX: (530) 898-6804

April 14, 1999

Glenn County Planning Department John Benoit, Director 125 South Murdock Avenue Willows, CA 95988

Kristin Cooper-Carter, Director Environmental Resource Program Office of Sponsored Programs California State University, Chico Chico, CA 95929-0870

Dear Mr. Benoit,

A proposal titled, "Local Economic Impacts of Public Land Acquisitions in the Sacramento River Conservation Area: A Case Study of Glenn County" will be submitted on April 16, 1999 for consideration by the CALFED Bay-Delta Program's Ecosystem Restoration Program and Strategic Plan in response to the 1999 Proposal Solicitation Package.

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If you have any questions about this proposal, please feel free to call my office at (530) 898-5026.

Sincerely,

Kristin Cooper-Carter, Coordinator Environmental Resource Center

KCC/lk



# **COUNTY OF GLENN**

## ASSESSOR'S OFFICE

COURT HOUSE COMPLEX
516 West Sycamore Street, 2nd Floor
Willows, California 95988
Willows Telephone (530) 934-6402
Orland Telephone (530) 865-1184
FAX: (530) 934-6571



April 7, 1999

Ms Kristin M. Cooper-Carter Environmental Resource Program Research Foundation CSU, Chico, Kendall Room 111 Chico, CA 95929-0870

Dear Kristin;

Please add my support to the request for the Research Foundation at California State University, Chico to do a study measuring the economic impacts of habitat restoration along the Sacramento River in Glenn County.

This information once compiled will be essential in the solution to the long ongoing debate over third party impacts. It will provide some facts in an area long dominated by theory and assumptions. Such a study will have statewide importance in a variety of areas.

The Glenn County Assessors Office stands ready to assist in any way to help promote and then complete this economic study.

Sincerely,

Vince T. Minto

Glenn County

Assessor/Clerk-Recorder

VTM/tm



## GLENN COUNTY BOARD OF SUPERVISORS

Glenn County Board of Equalization Air Pollution Control District

April 1, 1999

Ms. Kristin M. Cooper-Carter Environmental Resource Program Research Foundation Chico State University, Chico, Kendall Room 111 Chico, CA 95929-0870

## Dear Kristin:

The Glenn County Board of Supervisors supports the request of the Research Foundation at California State University, Chico, to do a study measuring the economic impacts of the creation of the Sacramento River Conservation Area (SRCA).

For many years, Glenn County has been concerned about the effects of the impacts of past and future land acquisitions and habitat restoration on County services and the impacts in agricultural output and employment.

The study, as proposed, would allow Glenn County and other effected counties to measure the impact on these projects and determine if and how mitigation might be instituted.

As in the past studies, Glenn County stands ready to assist in this project.

Very Truly Yours,

GLENN COUNTY BOARD OF SUPERVISORS

D. G. Bungarz, Chairman

cc: Burt Bundy, Coordinator

Sacramento River Conservation Area

gcboard@glenncounty.net

# Board of Supervisors COUNTY OF TEHAMA

District 1 - Barbara McIver District 2 - George Russell District 3 - Charles Willard

District 4 - Ross Turner District 5 - Bill Borror Tahama. Corvety Courthouse

Richard Robinson Chief Administrator

March 30, 1999

Ms. Kristin M. Cooper-Carter Environmental Resource Program Research Foundation CSU, Chico, Kendall Room 111 Chico, CA 95929-0870

Re: Supporting Chico State University Research Foundation CALFED Application

Dear Ms. Cooper-Carter:

The Tehama County Board of Supervisors supports the request of the Research Foundation at California State University, Chico to do a study measuring the economic impacts of the creation of the Sacramento River Conservation Area (SRCA).

Counties along the Sacramento River have supported the work to preserve and increase riparian habitat but have been concerned about the effects of the impacts of past and future land acquisitions and habitat restoration on county services and the impacts in agricultural output and employment.

The study as proposed would allow affected counties to measure the impact on these projects and determine if and how mitigation might be instituted.

Very truly yours,

Ros. M. Turnen

Ross M. Turner

Chairman

C: Denny Bungarz, Chair SB 1086 Advisory Council

APPLICATI FEDERAL			<b>:</b>	2. DATE SUBMIT	TED 4-16-99	•	Applicant Identifier	. •
1. TYPE OF SUB		AIVC		3. DATE RECEIV	ED BY ST	ATE	State Applicant Identifier	
Application		Pres	pplication				State Applicant identifier	
Constructio	п		onstruction	4. DATE RECEIV	ED BY FE	DERAL AGENCY	Federal Identifier	
X Non-Constru	ction	_ D N	on-Construction				*,	
5. APPLICANT IN	FORMATIO	N						
Legal Name: T	he CSU, CI	nico Res	earch Foundation		0	rganizational Unit:		
Address (give city	, county, st	tate, and	zip code):		N	ame and telephone	number of person to be contained	cted on matters involving this
Kendali Hali, R	oom 111				"	Technical:	David Gallo: (530) 898-5232	2
CSU, Chico Chico, CA 9592	9-0870					Budgetary: Contractual:	Kristin Cooper-Carter: (530) Virginia Sturr: (530) 898-40-	='
6. EMPLOYER IDI	ENTIFICATI	ON MUM	DED (EIN).				<u> </u>	
6 8 -	0 3		6 5 1 8	٦ .	'		CANT: (enter appropriate letter	•
	0 1 3	1 0 1	0 0 1 0			A. State B. County	H. Independent Scho I. State Controlled in	of Dist. estitution of Higher Learning
8. TYPE OF APPL	ICATION:					C. Municipal	J. Private University	
	~ .	.la	C Continuation	□ Revision		D. Township E. Interstate	K. Indian Tribe L. Individual	4
	X t	AAM	☐ Continuation	⊔ revision		F. Intermunicipa		
If Revision, enter a			` '	. <b>_</b>		G. Special Dist	•	
A. Increase Awa D. Decrease Du		B. Decr Other (s		Increase Duration		NAME OF FEDER	AL AGENCY:	
D. Decrease D.	n zuón	Outer (a	респу).		"	THAT OF TEDER	CALFED	
			·		-			
10. CATALOG OF	FEDERAL	DOMEST	IC ASSISTANCE N	UMBER:	11	. DESCRIPTIVE T	TILE OF APPLICANT'S PROJE	CT:
		*			7	Local Economic	Impacts of Public Land Acqui	sitions in the Sacramento
			•		] [		ition Area: A Case Study of Gl	
TITLE: Local E	conomic Im	npacts of	Public Land Acqui	sition				
12. AREAS AFFECTE	D BY PROJE	CT (cities	s, counties, states, etc	IJ:				•
		Glenn	County			•	•	
		:	•					
13. PROPOSED P	ROJECT:		14. CONGRESS	ONAL DISTRICTS	S OF:			· · · · · · · · · · · · · · · · · · ·
Start Date	Ending D	ate	a. Applicant	ļ		b. Project		
October 1, 1999	March 30	3 2001	:	2		i	3	•
15. ESTIMATED F		, <b>100</b> .				16. IS APPLIC	ATION SUBJECT TO REVIEW	BY STATE EXECUTIVE
a. Federal		s		63,02	29.00	ORDER 12	372 PROCESS?	
						a. YES. TH	IIS PREAPPLICATION/APPLICA	TION WAS MADE
b. Applicant		\$			.00	AV PR	AILABLE TO THESTATE EXEC	UTIVE ORDER 12372
c. State		-	<del></del>	· · · · · · · · · · · · · · · · · · ·		4		
c. Otale		\$	•		.00	DA	TE .	
d. Local		\$			.00	1		
e. Other	*	\$			.00	<b>b. No. x</b> □	PROGRAM IS NOT COVERE OR PROGRAM HAS NOT BE	
6 December 1		-				_	FOR REVIEW	
f. Program Income	•	\$			.00	17. IS THE AP	PLICANT DELINQUENT ON AN	Y FEDERAL DEBT?
g. TOTAL		\$		63,02	29.00	☐ Yes	If "Yes," attach an explanation	n. X No
18. TO THE BEST	OF MY KNO	WLEDG	E AND BELIEF, AL	L DATA IN THIS A	APPLICA	TION/PREAPPLIC	ATION ARE TRUE AND CORRI VILL COMPLY WITH THE ATTA	ECT. THE DOCUMENT HA
ASSISTANCE IS A	WARDED.	ine GC	VENTING BODY C	TINE APPLICAN	TI ANU	THE APPLICANT V	VILL COMPLY WITH THE ATTA	CHED ASSURANCES IF THE
a. Typed Name of		Represe		b. Ti		ector, Office of Sr	oonsored Programs	c. Telephone number 530-898-5700
d. Signature of Au	- 9	presentat	<del>-</del>	···				e. Date Signed
SA	all v	Mx	$\Theta$					4/13/99
revious Editions Usable withorized for Local Repro	duction U	(1					·	Standard Form 424 (REV. 4-92) Prescribed by OMB Circular A-102

OMB Approval No. 0348-0043

# **BUDGET INFORMATION - Non-Construction Programs**

			SECTION A JEUTIGET SUMMA	u v il		A congression deservices
Grant Program Function	Catalog of Federal Domestic Assistance	Estimated Ur	obligated Funds		New or Revised Budget	
or Activity	Number	Federal	Non-Federal	Federal	Non-Federal	Total
(a)  1. Data Collection	(b) N/A	(c) \$	(d)	(e) \$ \$20,423	\$ (f)	(g) \$20,423
2. Model Refinement	N/A			\$18,043		\$18,043
3. Benefit Estimates	N/A			\$8,744		
1. Project Management	N/A					\$8,744
. Floject Management	IN/A			\$15,819		\$15,819
5. TOTALS	N/A		\$0	\$63,029	\$0	\$63,029
	The Robert Control of the Control of	a Thursday Lander 19	ECTION BEBLAKGET GATEGO		Company of College	es confinences and have
6. OBJECT CLASS CATEGORII	ES	(1) Data Collection	(2) Model Refinement	(3) Benefit Estimates	(4) Project Management	Total (5)
a. Personnel		\$ \$5,100	\$7,120	\$5,580	\$8,520	\$26,320
b. Fringe Benefits		\$612	\$854	\$670	\$1,022	\$3,158
c. Travel		\$159	\$79	\$0	\$79	\$317
d. Equipment		\$2,410	\$0	\$0	\$0	\$2,410
e. Supplies	and the second s	\$0	\$0	\$150	\$563	\$713
f. Contractual		\$10,000	\$7,000	\$0	\$2,057	\$19,057
g. Construction		\$0	\$0	\$0	\$0	\$0
h. Other		\$0	\$0	\$0	\$0	\$0
i. Total Direct Charges	(sum of 6a-6h)	\$18,281	\$15,053	\$6,400	\$12,241	\$51,975
j. Indirect Charges		\$2,142	\$2,990	\$2,344	\$3,578	\$11,054
k. TOTALS (sum of 6)	and 6j)	\$20,423	\$18,043	\$8,744	\$15,819	\$63,029
PROGRAM INCOME		\$0	\$0	\$0	\$0	\$0

mellicata (1915) in a service de la la companio de	COME LEGISLATIVE PROPERTY SEC	ION CON IN IN FEDERAL RESO		a, go tedeskal seneraci se kerdi	a english statement of the state of the stat
(a) Grant Program		(b) Applicant	(c) State	(d) Other sources	(e) TOTALS
8. Data Collection		\$0	\$0	\$0	\$0
9. Model Refinement					\$0
10. Benefit Estimates					\$0
11. Project Management					\$0
12. TOTALS (sum of lines 8 and 11)		\$0	\$0	\$0	\$0
Market State of the State of th	¥C	IONU-FORECASTED CASH	NEEDS SEE	Maria de Carlos	
7 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 -	Total for 1st Year	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
13. Federal	\$51,402	\$18,234	\$8,657	\$12,256	\$12,255
14. NonFederal	\$0	\$0	\$0	\$0	\$0
15. TOTAL (sum of lines 13 and 14)	\$51,402	\$18,234	\$8,657	\$12,256	\$12,255
the professional and the profession of the Section	CHONE-BUDGELESTMATE	S OF FEDERAL FRANCS NEEDS	TO FOR BALLANCE OF THE PRO	SECT STORY OF	error (1924), illemente i culti di
	· · · · · · · · · · · · · · · · · · ·			S PERIODS (YEARS)	
(a) Grant Program	<del></del>	(b) First	(c)Second	(d) Third	(e) Fourth
16. Data Collection		\$ \$0	\$	\$	\$
17. Model Refinement		\$0		·	· ·
18. Benefit Estimates		\$0			
19. Project Management		\$11,627			
20. TOTALS (sum of lines 16 - 19)		\$11,627	\$0	\$0	\$0
	ne a statement	P. OTHER BUDGET IN	OFMATION = 22 (12)		
21. Direct Charges: \$51,975		22. Indirect Charges	\$26,320		
			\$11,054	Total Indirect (42% of S&W	).
23. Remarks					

CSU, Chico Research Foundation

California State University, Chico Chico, California 95929-0870 (530) 898-4044; FAX: (530) 898-6804

Indirect costs are those costs that cannot by their nature be specified on a project by project basis in the same way that line item direct costs can. Generally, indirect costs are those that support project activities, as compared to those that are directly related to specific project tasks. Universities establish an indirect cost rate with the Federal Government by following the appropriate provisions of OMB Circular A-21. This circular was officially modified and reissued on May 8, 1996, which, among other things, changed the term "indirect costs" to "Facilities and Administrative (F&A) Costs." The circular spells out two methods for determining such costs. We use the "Simplified Method" for institutions with less than \$10 million in awards annually from the Federal Government. Currently, we have two rates approved by our Health and Human Services Regional Office (Region IX) contacts: 42% of salaries and wages for on-campus projects and 18.5% of salaries and wages for off-campus projects. May Wong (415-556-1704) is our contact and can provide you with verification of our rate which her office approves after reviewing our financial statements.

Typically indirect costs are intended to generally cover costs such as facilities (including the space itself as well as utilities and janitorial services), general administration, insurance, "infrastructure" (for instance, availability of such resources as library holdings and other resources—e.g., access to electronic databases, communication links, computing backbone, and the like), grant and contract management services, cost of advancing funds for projects which pay in arrears and similar costs.

## U.S. Department of the Interior

# Certifications Regarding Debarment, Suspension and Other Responsibility Matters, Drug-Free Workplace Requirements and Lobbying

Persons signing this form should refer to the regulations referenced below for complete instructions:

Certification Regarding Debarment, Suspension, and Other Responsibility Matters - Primary Covered Transactions - The prospective primary participant further agrees by submitting this proposal that it will include the clause titled, "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lower Tier Covered Transaction," provided by the department or agency entering into this covered transaction, without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions. See below for language to be used; use this form for certification and sign; or use Department of the Interior Form 1954 (Di-1954). (See Appendix A of Subpart D of 43 CFR Part 12.)

Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lower Tier Covered Transactions - (See Appendix B of Subpart D of 43 CFR Part 12.)

Certification Regarding Drug-Free Workplace Requirements - Alternate I. (Grantees Other Than Individuals) and Alternate II. (Grantees Who are Individuals) - (See Appendix C of Subpart D of 43 CFR Part 12)

Signature on this form provides for compliance with certification requirements under 43 CFR Parts 12 and 18. The certifications shall be treated as a material representation of fact upon which reliance will be placed when the Department of the Interior determines to award the covered transaction; grant, cooperative agreement or loan.

# PART A: Certification Regarding Debarment, Suspension, and Other Responsibility Matters - Primary Covered Transactions

CHECK IF THIS CERTIFICATION IS FOR A PRIMARY COVERED TRANSACTION AND IS APPLICABLE.

- (1) The prospective primary participant certifies to the best of its knowledge and belief, that it and its principals:
  - (a) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;
  - (b) Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
  - (c) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (1)(b) of this certification; and
  - (d) Have not within a three-year period preceding this application/proposal had one or more public transactions (Federal, State or local) terminated for cause or default.
- (2) Where the prospective primary participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

## PART B: Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion -Lower Tier Covered Transactions

# CHECK\_IF THIS CERTIFICATION IS FOR A LOWER TIER COVERED TRANSACTION AND IS APPLICABLE

- (1) The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.
- (2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

## PART C: Certification Regarding Drug-Free Workplace Requirements

CHECK IF THIS CERTIFICATION IS FOR AN APPLICANT WHO IS NOT AN INDIVIDUAL.

### Alternate I. (Grantees Other Than Individuals)

- A. The grantee certifies that it will or continue to provide a drug-free workplace by:
  - Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use (a) of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
  - Establishing an ongoing drug-free awareness program to inform employees about-(b)

The dangers of drug abuse in the workplace;

The grantee's policy of maintaining a drug-free workplace;

Any available drug counseling, rehabilitation, and employee assistance programs; and (3)

- The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
- Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the (C) statement required by paragraph (a);
- Notifying the employee in the statement required by paragraph (a) that, as a condition of employment under the grant, (d) the employee will --

Abide by the terms of the statement; and (1)

- Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the (2)workplace no later than five calendar days after such conviction;
- Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph (d)(2) from an (e) employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification numbers(s) of each affected grant;
- Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph (d)(2), with (f) respect to any employee who is so convicted -

(1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

- Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;
- Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs (a) (g) (b), (c), (d), (e) and (f).
- B. The grantee may insert in the space provided below the site(s for the performance of work done in connection with the specific grant:

Glenn County	
neckif there are workplaces on file that are not identified here.	

### CHECK\_ IF THIS CERTIFICATION IS FOR AN APPLICANT WHO IS AN INDIVIDUAL.

#### Alternate II. (Grantees Who Are Individuals)

- The grantee certifies that, as a condition of the grant, he or she will not engage in the unlawful manufacture, (a) distribution, dispensing, possession, or use of a controlled substance in conducting any activity with the grant;
- (b) If convicted of a criminal drug offense resulting from a violation occurring during the conduct of any grant activity, he or she will report the conviction, in writing, within 10 calendar days of the conviction, to the grant officer or other designee, unless the Federal agency designates a central point for the receipt of such notices. When notice is made to such a central point, it shall include the identification number(s) of each affected grant.

PART E: Certification Regarding Lobbying
Certification for Contracts, Grants, Loans, and Cooperative Agreements

CHECK IF CERTIFICATION IS FOR THE AWARD OF ANY OF THE FOLLOWING AND THE AMOUNT EXCEEDS \$100,000: A FEDERAL GRANT OR COOPERATIVE AGREEMENT; SUBCONTRACT, OR SUBGRANT UNDER THE GRANT OR COOPERATIVE AGREEMENT.

CHECK\_\_IF CERTIFICATION IS FOR THE AWARD OF A FEDERAL LOAN EXCEEDING THE AMOUNT OF \$150,000, OR A SUBGRANT OR SUBCONTRACT EXCEEDING \$100,000, UNDER THE LOAN.

The undersigned certifies, to the best of his or her knowledge and belief, that:

- (1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, and officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- (2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
- (3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, title 31. U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

As the authorized certifying official. I hereby certify that the above specified certifications are true.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL

TYPED NAME AND TITLE

Jeff Wright, Director, Office of Sponsored Programs

4-12-99

DATE

#### **ASSURANCES - NON-CONSTRUCTION PROGRAMS**

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for educing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0040), Washington, DC 20503.

# PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the awarding agency. Further, certain Federal awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

s the duly authorized representative of the applicant, I certify that the applicant:

- Has the legal authority to apply for Federal assistance and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project cost) to ensure proper planning, management and completion of the project described in this application.
- Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
- Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
- Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
- Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards for merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
- 6. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation

- Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee 3), as amended, relating to confidentiality of alcoholand drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and, (i) the requirements of any other nondiscrimination statute(s) which may apply to the application.
- 7. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
- Will comply, as applicable, with provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.

- 9. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333), regarding labor standards for federally-assisted construction subagreements.
- O. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
- Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523): and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205)

- Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
- 13. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
- Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
- 15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. §§2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.
- 16. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
- 17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
- Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.

GNATURE OF AUTHORIZED CERTIFYING OFFICIAL

Jeff Wright

The CSU, Chico Research Foundation

Director, Office of Sponsored Programs

PPLICANT ORGANIZATION /

DATE SUBMITTED

4-16-99